

# vcfdist: accurately benchmarking phased small variant calls

Tim Dunn, Satish Narayanasamy

## Introduction: VCF Benchmarking

- With many new emerging sequencing technologies and methods, it's important to accurately assess the relative performance of each option
- This is done by comparing the set of variants called to a known ground truth set of variant calls in VCF format

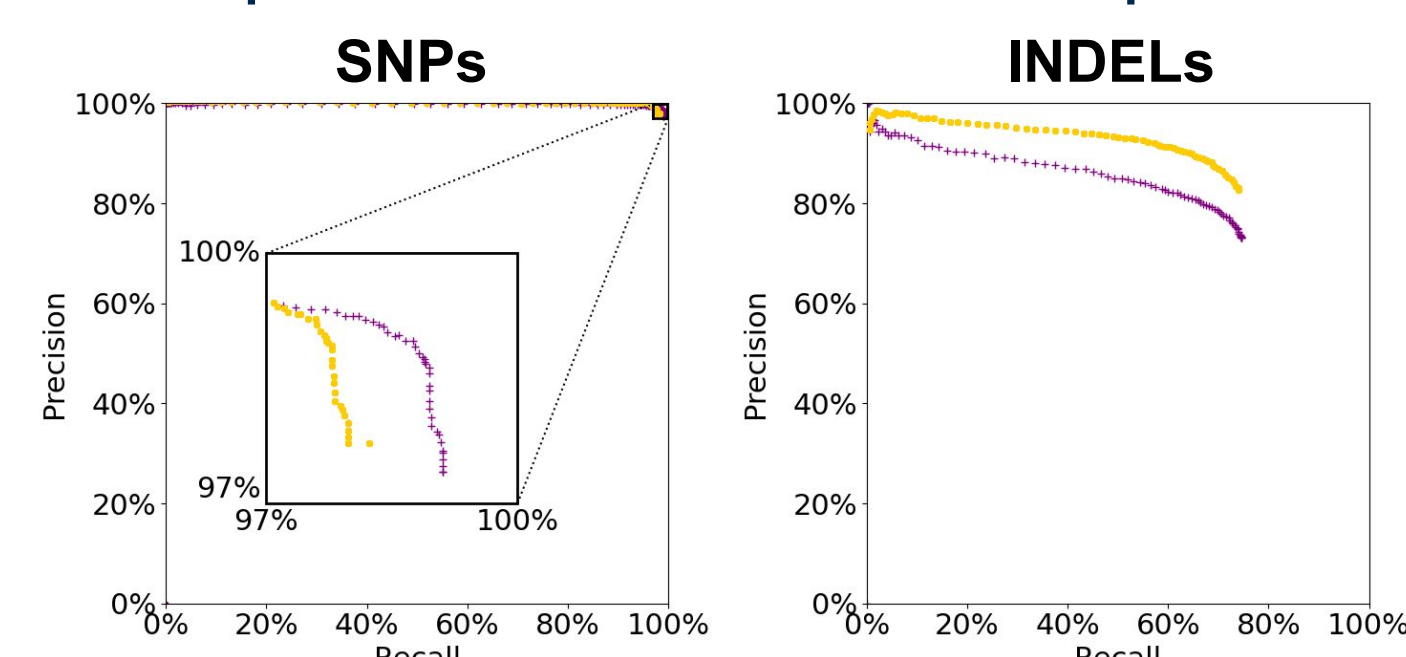
Technology #1					Technology #2					Ground Truth					
Reference:		ACCGTGAAG			Reference:		ACCGTGAAG			Reference:		ACCGTGAAG			
Query #1:		ACAGTAGAAG			Query #2:		ACCGTAGAGG			Query:		ACCGTAGAGG			
CHROM	POS	REF	ALT	CHROM	POS	REF	ALT	CHROM	POS	REF	ALT	CHROM	POS	REF	ALT
✗ chr14	3	C	A	✓ chr14	6	T	A	chr14	6	T	A	chr14	6	T	A
✓ chr14	6	T	A	✓ chr14	9	A	G	chr14	9	A	G	chr14	9	A	G

- Performance metrics such as precision and recall are reported independently for each category of variant

Reference:	ACCGTGAAGACGGCCATTTTTT		AAGTGAAGCATCCATCTAAAAGCCTTTTAGCGGCGCCCTCTATAGAT	
Query #1:	ACCGTGAAGACGGCCCA		TTTTTAACTGAGCATCCATCTAAAAGCCTTTT	
	SNP	INDEL		SV
	single nucleotide polymorphism	insertion/deletion		structural variant
	substitution	<50 basepairs		50+ basepairs

## Motivation

- Generating SNP/INDEL precision-recall curves for phased VCFs using vcfeval<sup>[1]</sup> does not result in stable evaluations
- The following figure shows benchmarking results for two VCFs which both encode the exact same underlying query sequence, but prefer different variant representations

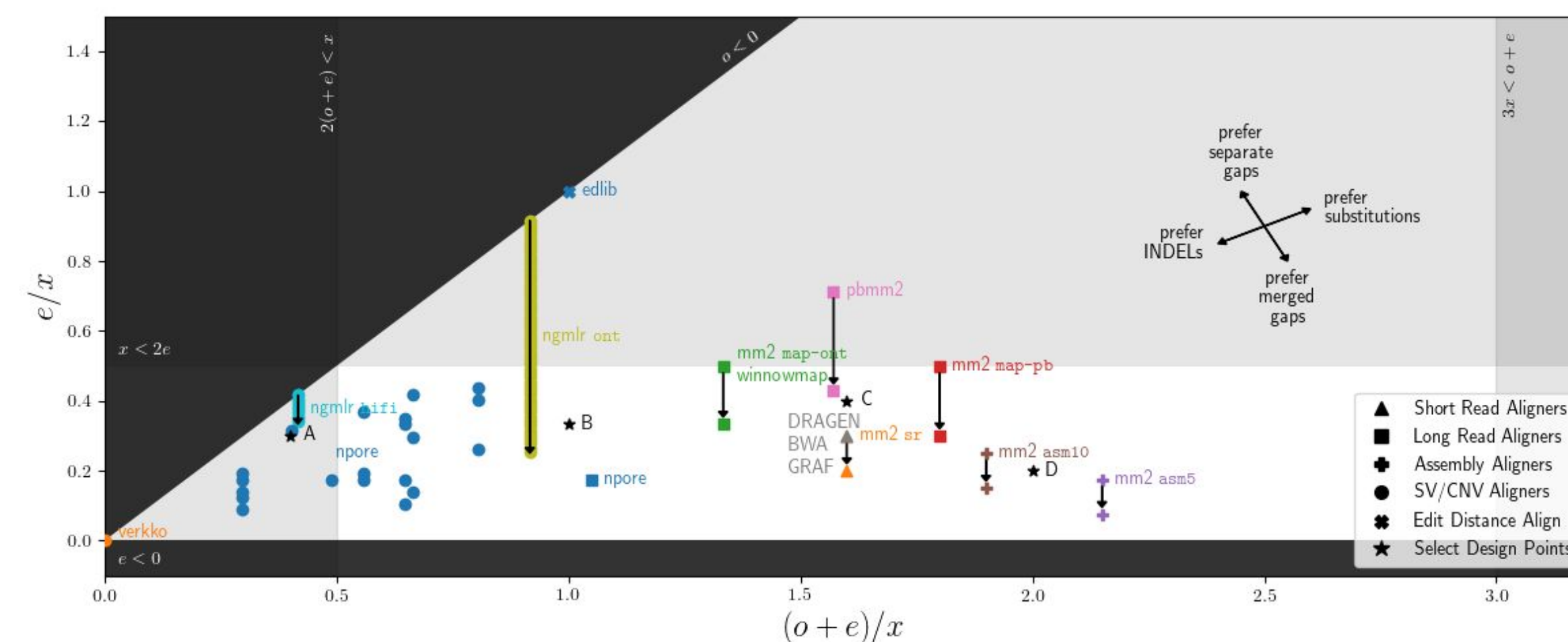


## 1. Variant Clustering

- The human genome is prohibitively large for exact alignment of entire chromosomes, and must be partitioned into independent subproblems for efficient analysis
- We present an alignment-based algorithm for identifying potential variant dependencies and grouping variants into independent clusters

## 2. Variant Normalization

- We present a thorough exploration of "Best Alignment Normalization"<sup>[2]</sup> affine-gap parameters



Reference	AGGCGACA			Query	ATACCGAGCTTA		
Point A	$m, x, o, e = 0, 10, 1, 3$			Point C	$m, x, o, e = 0, 5, 6, 2$		
Point B	$m, x, o, e = 0, 3, 2, 1$			Point D	$m, x, o, e = 0, 5, 9, 1$		
Alignment	AGG---CGA-C--A			Alignment	A-GGCGA-C--A		
	A--TACCGAGCTTA				ATACCGAGCTTA		
VCF				VCF			
POS	REF	ALT		POS	REF	ALT	
1	AGG	A		1	A	AT	
3	G	GTAC		2	G	A	
6	A	AG		3	G	C	
7	C	CTT		6	A	AGCT	
				7	C	CTT	

## 3. Enforce Local Variant Phasing

- Previous work vcfeval<sup>[1]</sup> was designed for short read variant calls and assumes all variant calls are unphased
- For vcfdist, local phasing is enforced within each cluster of variants and arbitrary phase swaps are allowed to occur between clusters

## 4. Partial Credit for Variant Calls

- Using a novel alignment algorithm, we can assign partial credit to mostly-correct calls
- This allows inexact matches for long or complex variants

Ref.	ACCCCTTTTTTG			Query	ACCTTTG			Truth	ACCCCTTTG		
Query VCF Representation 1	Query VCF Representation 2	Truth VCF	Truth VCF	Truth VCF	Truth VCF	Truth VCF	Truth VCF	Truth VCF	Truth VCF	Truth VCF	
POS	REF	ALT	POS	REF	ALT	POS	REF	ALT	POS	REF	ALT
3	CCTT	C	1	AC	A	4	CTTT	C	4	CTTT	C

vcfeval Summary Statistics							
	TP	FP	FN	PP	Prec.	Recall	F1
Query Repr. 1	0	1	1	0	0.00	0.00	0.00
Query Repr. 2	1	1	0	0	0.50	1.00	0.67

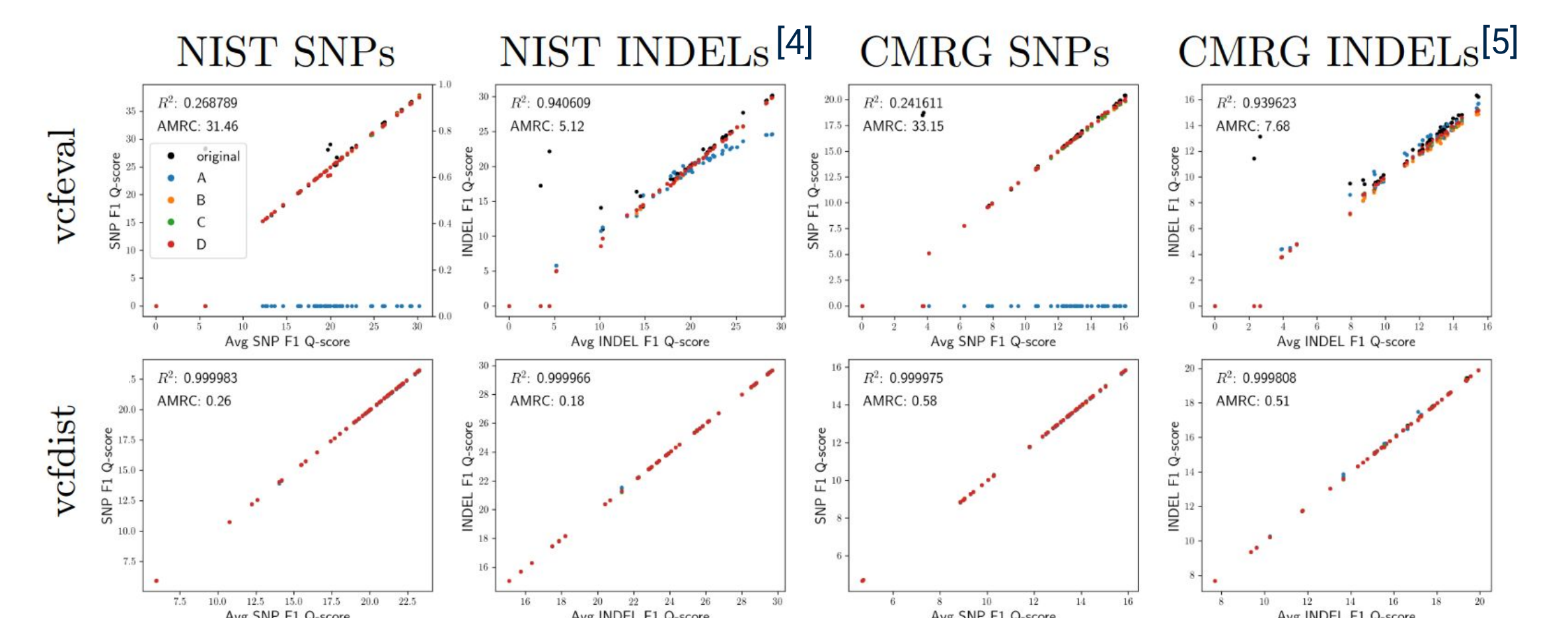
  

vcfdist Summary Statistics							
	TP	FP	FN	PP	Prec.	Recall	F1
Query Repr. 1	0	0	0	1	0.67	0.67	0.67
Query Repr. 2	1	1	0	0	0.50	1.00	0.67

## 5. Alignment Distance Metrics

- Since SNP and INDEL variants are ill-defined in the case of complex variants, we can avoid classifying variants and simply align the query and truth sequences
- High-level summary information regarding the alignment can then be used to evaluate performance
  - Edit Distance:** the total number of bases which differ
  - Distinct Edits:** the total number of variants
  - Alignment Distance:** the affine-gap alignment score

## Results<sup>[3]</sup>



## References

- Cleary et al. "Comparing variant call files for performance benchmarking of next-generation sequencing variant calling pipelines". bioRxiv, 2015.
- Bayat et al. "Improved VCF normalization for accurate VCF comparison". Bioinformatics, 2017.
- Olson et al. "PrecisionFDA Truth Challenge V2: Calling variants from short and long reads in difficult-to-map regions". Cell Genomics, 2022.
- Wagner et al. "Benchmarking challenging small variants with linked and long reads". Cell Genomics, 2022.
- Wagner et al. "Curated variation benchmarks for challenging medically relevant autosomal genes". Nature Biotechnology, 2022.

## Additional Information

**Funding:** This project was supported by the National Science Foundation Graduate Research Fellowship under Grant 1841052. Any opinion, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Science Foundation.

**Paper:** doi.org/10.1101/2023.03.10.532078



**Code:** github.com/TimD1/vcfdist

